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11/06/01
JC769 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL
(for Noncontinuing, Nonprovisional
Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 11748/25

JC781 U.S. PTO
09/994011
11/06/01

Box PATENT APPLICATION
Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R.
§1.53(b) is the nonprovisional, noncontinuation
patent application for:

Title: **Interactive Remote Control of Audio or
Video Playback and Selections**

First Named Inventor or
Application Identifier: **Bi et al.**

)
) CERTIFICATE OF MAILING BY "EXPRESS MAIL"
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) "Express Mail" Mailing Label Number
) EL547618055US
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) Date of Deposit: November 6, 2001
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) I hereby certify that this paper or fee is being
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) "Express Mail Post Office to Addressee" Service under
) 37 CFR §1.10 on the date indicated above and is
) addressed to the Commissioner of Patents and
) Trademarks, Attention: Assistant Commissioner for
) Patents, Washington, D.C. 20231
)
) Molly M. Michaels
) (Typed or printed name of person mailing)
) Molly M. Michaels
) (Signature of person mailing)
)
)
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1. **Utility Patent Application:**

20 pages of the specification (*including claims*) are enclosed.

13 sheet(s) of drawings are enclosed. (X) Formal () Informal

2. **Declaration and Power of Attorney:**

() An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.

(X) The names of persons believed to be the actual inventors are set forth in the enclosed **unexecuted** Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).

3. **Assignment:**

() An Assignment(s) of the invention to _____, and cover sheet are enclosed.

() A check in the amount of \$_____ to cover the fee for recording the assignment(s) is enclosed. (\$40.00 per assignment.)

4. () A 37 C.F.R. §3.73(b) Statement is enclosed (*where an Assignee seeks to take action in a matter before the Patent Office*).

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5. ☐ An Information Disclosure Statement is enclosed.
- ☐ A Form PTO-1449 is enclosed.
- ☐ References (copies) listed on the Form PTO-1449 are enclosed.
6. ☒ A Return Receipt Postcard is enclosed (MPEP §503).
7. ☐ Priority of application number _____ filed on _____ in _____ is claimed under 35 U.S.C. §119.
- ☐ A certified copy of the priority document is enclosed.
8. ☐ A MicroFiche Computer Program (Appendix) is enclosed.
9. ☐ A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
10. ☐ A Computer Readable Copy is enclosed.
11. ☐ A Paper Copy (*Identical to Computer Copy*) is enclosed.
12. ☒ Small Entity Status is claimed under 37 C.F.R. §1.27.
13. The filing fee is calculated below:

CLAIMS AS FILED – INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370.00		\$740.00
TOTAL (No. of claims – 20=)	13	0	X \$9.00	0	X \$18.00	
INDEP. (No. of claims – 3=)	1	0	X \$42.00	0	X \$84.00	
Assumed no multiple dependent claims – First Presentation of Multiple Dependent Claim			+ \$135.00	0	+ \$270.00	
Filing Fee =			\$370.00		\$	

14. Method of Payment of Fees

- (X) Enclosed is our firm check in the amount of: \$370.00
- () Charge \$ _____ to Deposit Account No. 50-1214.
- () The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.
15. () A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.
16. (X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1214. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1214. This sheet is filed in triplicate.
17. () Also enclosed:

Please address all future communications to

KATTEN MUCHIN ZAVIS
Attention: Patent Administrator
525 West Monroe Street, Suite 1600
Chicago, Illinois 60661-3693
(312) 577-8134

November 6, 2001
(Date)

Respectfully Submitted,

By: John S. Paniaguas
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